

#### **DEPARTMENT OF THE ARMY**

OFFICE OF THE ASSISTANT SECRETARY
INSTALLATIONS, ENERGY AND ENVIRONMENT
110 ARMY PENTAGON
WASHINGTON, DC 20310-0111

Mr. Henry Kerner U.S. Office of Special Counsel 1730 M Street, N.W. Suite 300 Washington, D.C. 20310-0101 DEC 1 1 2023

SUBJECT: Whistleblower Investigation- Alleged violation of law, rule, or regulation at 20th Chemical Biological, Radiological, Nuclear, Explosives Command (CBRNE), Analytical and Remediation Activity (CARA), Aberdeen Proving Ground, Maryland (Office of Special Counsel File Number DI-23-000639)

Dear Mr. Kerner:

In accordance with Title 5, United States Code, Sections 1213(c) and (d), the enclosed summary report (Report) is submitted in response to your request for an investigation into allegations made in the above referenced case.

The Secretary of the Army (SECARMY) has delegated to me her authority, as Agency head, to review, sign, and submit to you the statutorily required Report. Both redacted and unredacted versions of the Report and the exhibits referenced in the Report are included, along with a redacted and unredacted table of contents (TOC). We request that the unredacted Report, exhibits, and TOC be protected under the Privacy Act. As such, they should not be made available on your website, in your public library, or in any other forum in which it will be accessible to persons not expressly authorized to obtain this information under the Act.

The Army takes very seriously its responsibility to address, in a timely and thorough fashion,

matters referred by OSC. In this case, the Army had initiated a comprehensive investigation prior to
OSC's referral in response to the allegations submitted by the Whistleblower,
through his supervisory chain of command, which included the same allegations referred by OSC.
Specifically, alleged that supervisory pilot failed to conduct a required
investigation into an aviation safety mishap that occurred on 6 February 2023, that
provided false information to the U.S. Army Combat Readiness Center (USACRC) regarding the
incident, and attempted to prevent who was serving as the CARA Safety Officer,
from initiating an independent investigation into the mishap.
An investigation into
An investigation into 's allegations was directed by the Deputy Commander,
20 <sup>th</sup> CBRNE. was given the opportunity to provide additional evidence and he
provided a statement supplementing his allegations. In total, the investigating officer (IO)
investigated 19 specific allegations, and interviewed 16 witnesses, including
also collected and reviewed numerous documents provided by the witnesses in support of their
statements. The IO relied upon these witness statements and supporting documents in reaching his

findings. The IO found that	properly reported the 6 February 2023	mishap and conducted
	mishap. However, the IO determined that Mr. 1	
investigation was not complet	ed in accordance with certain requirements of a	pplicable Army
regulation. Accordingly, becar	use of the procedural errors in the conduct of	8
investigation,	s allegation that	required investigation is
	equent investigation in compliance with applic	
came to substantially the same	•	
s alleg	ation that	C that an investigation
had been conducted is partiall	y substantiated. In his Abbreviated Aviation A	ccident Report (AAAR)
	reported that a safety investigation ha	
2 , ,	pove, it was later determined that the investigat	ion was deficient and
had to be reappointed.		
Contrary to	s allegation, the IO determined that	correctly reported
	status at the time of the mishap on 6 February	2023. With respect to
	at prevented him from initiating an	_

s allegation that prevented him from initiating an investigation into the mishap, the IO determined that CARA leadership, in coordination with USACRC, properly decided to remove from the investigation because he was personally involved as a pilot and his actions were a cause of the damage to the helicopter. Accordingly, the IO found both of these allegations to be unsubstantiated.

Having reviewed the enclosed Report, I am satisfied that a thorough investigation has been conducted in this matter, and the findings, as summarized in the Report, are well supported. I am also satisfied with the sufficiency of the follow-on safety investigation, conducted by an IO assigned to FORSCOM, the higher headquarters of 20<sup>th</sup> CBRNE. In accordance with Department of Defense Instruction 6055.07, confidentiality of the witness interviews for this safety mishap investigation will be maintained. This is intended to encourage frank and open communication with personnel involved in or witnessing a mishap. Accordingly, I am submitting the Report, with enclosures (both redacted and unredacted), in satisfaction of my responsibilities under 5 U.S.C. §§1213(c) and (d). At this time, the Army has made no referral of alleged criminal violations to the Attorney General pursuant to section 1213(d)(5)(d).

Please direct any further questions you may have regarding this matter to Mr. Joseph A. Fedorko, Office of The Judge Advocate General, at 571-256-2870.

Rachel Jacobson

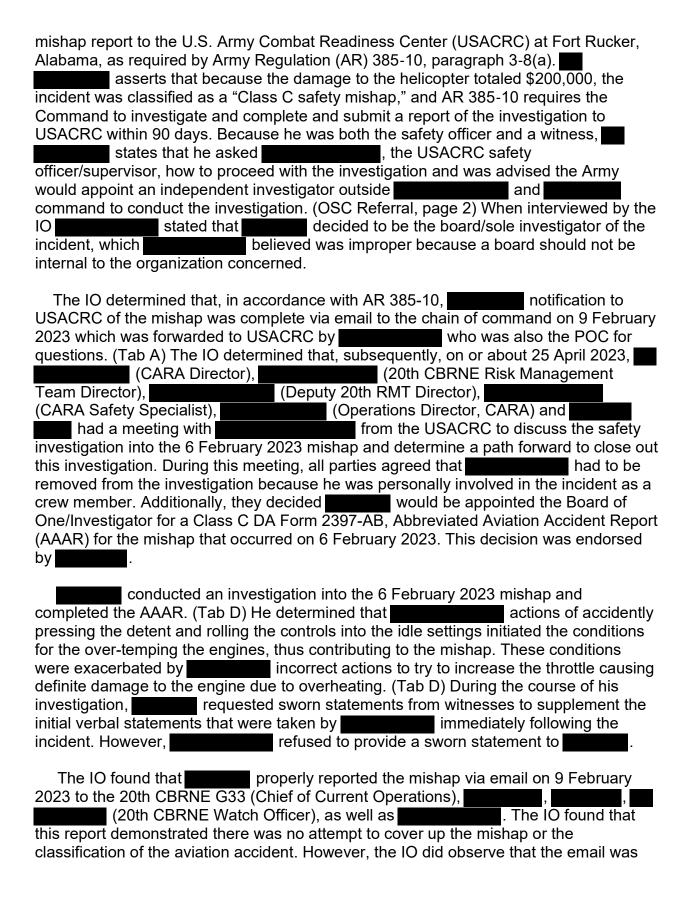
# **SUMMARY OF THE REPORT OF INVESTIGATION**

# Office of Special Counsel Referral File No. DI-23-000639

# I. INFORMATION INITIATING THE INVESTIGATION

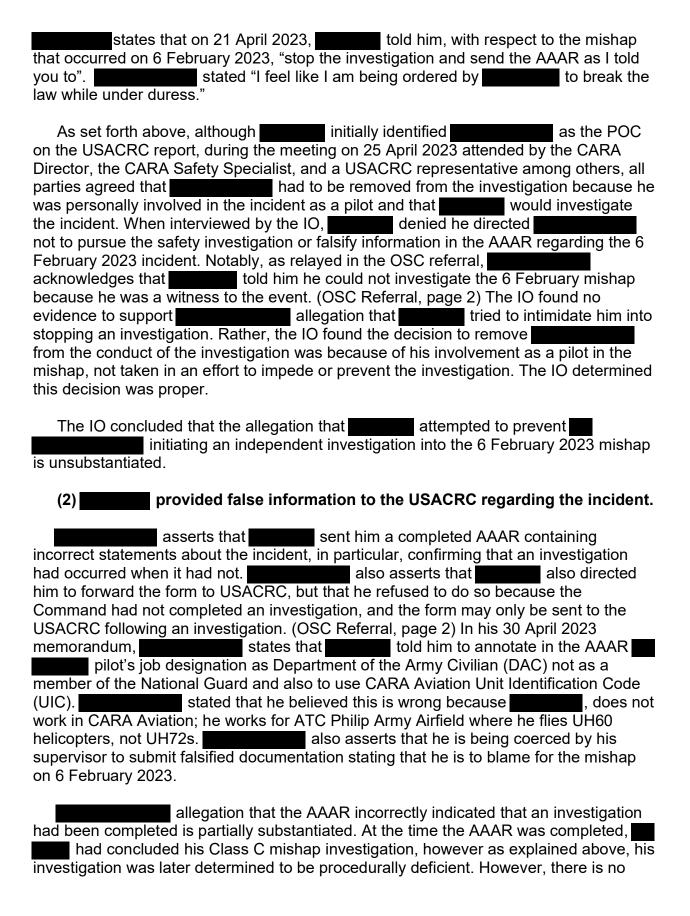
By correspondence dated 8 June 2023, the Office of Special Counsel (OSC) forwarded to the Secretary of the Army allegations from a whistleblower, a Pilot and Aviation Safety Officer with the Army's 20th Chemical Biological Radiological, Nuclear, Explosives Command (CBRNE) Analytical and Remediation Activity (CARA), Aberdeen Proving Ground, Maryland, that CARA personnel had engaged in conduct that constitutes a violation of law, rule, or regulation, an abuse of authority, and a substantial and specific danger to public safety.
OSC provided the facts asserted by in his complaint and summarize the allegations to be investigated as follows:
(1) Supervisory Pilot failed to conduct a required investigation into a safety mishap that occurred on 6 February 2023, in which Army Pilot Instructor caused the helicopter engine to overheat during training, resulting is \$200,000 of damage to the helicopter; and
(2) Thereafter, attempted to prevent , CARA Safety Officer from initiating an independent investigation into the mishap and provided false information to the U.S. Army Combat Readiness Center regarding the incident.
(3) Any additional, related allegations of wrongdoing discovered during the investigation of the foregoing allegations.
II. CONDUCT OF THE INVESTIGATION
On 13 June 2023 the Office of the Army General Counsel forwarded the OSC referral to the Commander, 20th CBRNE for appropriate action, including the initiation of an investigation into the allegations pursuant to Army Regulation (AR) 15-6 Procedures for Investigating Officers and Boards of Officers, and the implementation o appropriate corrective actions as necessary.
Subsequent coordination with the 20th CBRNE revealed that on 1 May 2023 met with 20th CBRNE leadership and presented a memorandum dated 30 April 2023 containing numerous allegations, including allegations which were the same as those referred by OSC. On 2 May 2023, the 20th CBRNE's Deputy Commander, appointed an investigating officer (IO) to determine the validity of allegations and to make findings concerning whether any wrongdoing occurred, and if so, by whom, and whether adequate policies and procedures are in place to preclude any recurrence of any improprieties, irregularities, or misconduct. The IO commenced

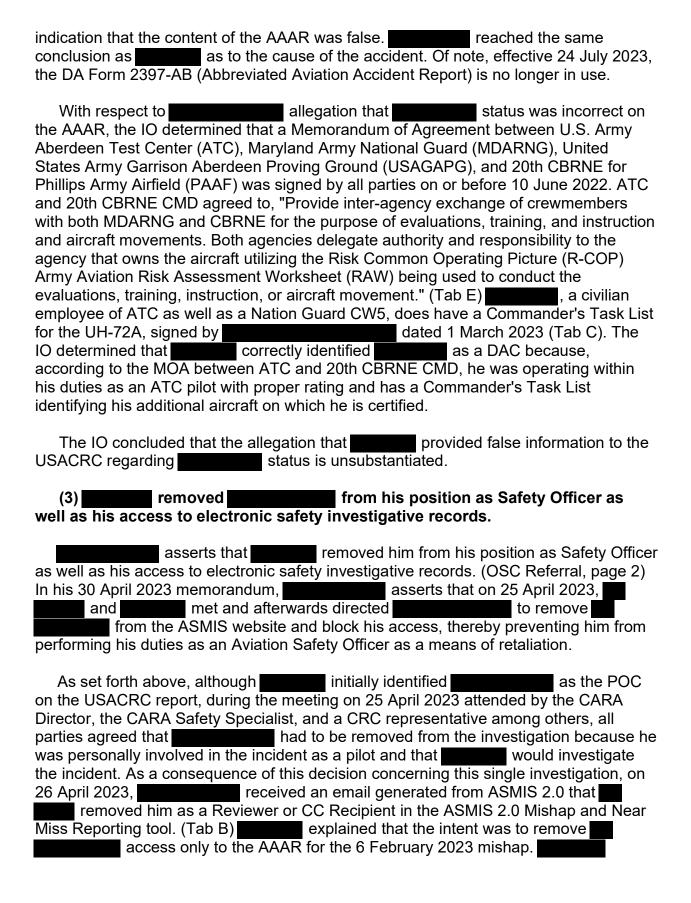
his investigation on 3 May 2023 and interviewed on 11 May 2023 and obtained his sworn statement.
Including , the IO interviewed 16 people and collected and reviewed numerous exhibits concerning the allegations raised to OSC and the other allegations included in his 30 April 2023 memorandum.
III. APPLICABLE RULES, REGULATIONS, AND POLICIES
1. Army Regulation (AR) 385-10, The Army Safety Program, paragraph 3-8 requires that persons involved in, or aware of, an Army accident will report it immediately to the commander or supervisor directly responsible for the operation, materiel, or persons involved. Subparagraph 3-8a, further requires the commander or supervisor who first becomes aware of any Class A or Class B Army accident or Class C Army aviation (flight, flight related, and aircraft ground, or UAS) accident, through their chain of command, immediately notify— (1) The immediate commander or supervisor of all personnel involved. (2) The Commander, U.S. Army Combat Readiness Center (USACRC). Subparagraph 3-8a specifies the method and form of notification.
2. AR 385-10 provides guidance for Class C Aviation mishap investigations. Para 3-13 states that accident investigation board appointing authority will ensure that no member of the board has a personal interest in the outcome of the accident investigation. Para 3-15 states that Class C aviation accidents (flight, flight related, aircraft ground, or UAS) will be investigated by a board of at least one member. Para 3-16 states again that members of the investigation board cannot have an interest in the accident that may bias the outcome of the investigation. Para 3-16 also requires that the board president must be senior in grade to the aircraft crewmembers.
3. Department of the Army Pamphlet (DA PAM) 385-40, Army Mishap Investigations and Reporting, provides a concise, standardized set of instructions and procedures to assist in the investigation process and reporting of Army mishaps, as directed by AR 385–10.
IV. FINDINGS
A. OSC REFERRED ALLEGATION 1: Supervisory Pilot failed to conduct a required investigation into a safety mishap that occurred on 6 February 2023, in which Army Pilot Instructor Chief Warrant Officer 5 (CW5) caused the helicopter engine to overheat during training, resulting in \$200,000 of damage to the helicopter.
mission on which he and second (as pilot and instructor pilot, respectively) were piloting a helicopter, restarted the helicopter engine prior to a complete shutdown, causing the engine to overheat, resulting in an excess of \$200,000 in damage. (OSC Referral, page 2) According to



not sent to the 20th CBRNE CMD Operations Box email address which hampered awareness across the 20th CBRNE CMD HQs.

The IO determined that investigation concerning the 6 February 2023 mishap was not completed in accordance with the requirements of AR 385-10 and DA PAM 385-40. Specifically, the IO identified the following errors in the investigation: a) was not appointed in writing by the correct appointing authority as the Board of One for the Class C Aviation Accident that occurred 6 February 2023 in violation of paragraph 3-14.b.(1); b) there is a perception that could have a personal interest in the outcome of the investigation, so an outside neutral party should have been identified to assist; c) according to DA PAM 385-40, paragraph 2-3(b), preliminary witness statements should not be made under oath. requested sworn statements from and should have provided them a general witness briefing which clearly states that the purpose of a safety investigation and that witness statements cannot be used for any disciplinary action.
The IO determined that the 20th CBRNE RMT guidance to CARA HQ was inadequate. The errors in the investigation demonstrate that the 20th CBRNE RMT lacks the aviation subject-matter expertise to properly advise the 20th Commander and CARA Director on proper actions to take when an aviation mishap occurs.
In light of the IO's determination that investigation was insufficient and based upon the IO's recommendation, on 26 June 2023 the 20th CBRNE Commanding General, appointed from FORSCOM Aviation to conduct an investigation into the 6 February 2023 mishap. On 28 and 29 June 2023, conducted witness interviews, including an interview with provided a final write-up of his findings on 14 July 2023, and on 1 August 2023 the Class C Accident Report was submitted and accepted by USACRC.
The allegation that failed to conduct a required investigation into the safety mishap that occurred on 6 February 2023 is partially substantiated. Although conducted an investigation, it did not satisfy the requirements of AR 385-10 and DA PAM 385-40. As stated above, the subsequent safety investigation was conducted by a neutral individual, selected by FORSCOM from its Aviation Section. reached the same conclusion as as to the cause of the accident.
B. OSC REFERRED ALLEGATION 2: attempted to prevent , CARA Safety Officer, from initiating an independent investigation into the mishap and provided false information to USACRC regarding the incident.
(1) attempted to prevent CARA Safety Officer, from initiating an independent investigation into the mishap.
asserts that repeatedly directed him to refrain from initiating any investigation. (OSC Referral, page 2) In his 30 April 2023 memorandum,





confirmed to the IO that	status in ASMIS 2.0 has not changed since 23
January 2023.	

The IO concluded that the allegation that removed removed from his position as Safety Officer and revoked his access to electronic safety investigative records is unsubstantiated.

C. OSC REFERRED ALLEGATION 3: Any additional, related allegations of wrongdoing discovered during the investigation of the foregoing allegations.

As stated above, in his memorandum of 30 April 2023, make made numerous additional allegations, unrelated to those referred by OSC. The IO investigated all his allegations, making findings and recommendations on all except one which is the subject of an ongoing criminal investigation.

#### V. INVESTIGATING OFFICER'S RECOMMENDATIONS

Based on his findings, the IO, recommended, with respect to the allegations raised to OSC, that:

- 1. Commander, 20th CBRNE, request an additional 30-day extension to the safety investigation and request USACRC/FORSCOM to provide a non-biased Board of One/Investigator to complete the investigation into the Class C accident that occurred on 6 February 2023 and DA Form 2763 AB report.
- 2. The 20th CBRNE RMT send a primary and alternate representative to the Aviation Safety Officer's Course to train personnel to advise the RMT Director, CARA Director and Commander when an aviation accident occurs and provide oversight and assistance for CARA AVN. CARA should not rely solely on FORSCOM Aviation Resource Management System (ARMS) to assess CARA AVN.
- 3. The 20th CBRNE CMD with CARA AVN Safety assistance develop a plan/battle drill to identify all actions and responsibilities for reacting when an aviation accident occurs and a tracking mechanism for completed actions. This battle drill must be provided to G3 Current Operations to be able to track all actions required to address aviation accidents and assist CARA with proper support from the 20th CBRNE CMD.
- 4. The 20th CBRNE RMT reassess and make recommendation to Commander or Designated Representative on the appointment authority for Class C and below aviation accident boards. The current appointment authority is the CARA Director. The CARA Director will likely appoint the CARA AVN Chief as the Accident Board if they are not involved in the accident. A perception exists that the CARA AVN Chief may be biased and have an interest in the outcome of the investigation and bring into question potential violation of regulatory requirements.

## **VI. APPROVING AUTHORITY'S ACTIONS**

On 6 July 2023, the Commander, 20th CBRNE, approved the IO's findings and recommendations. All recommendations of the IO have either been implemented or are in the process of being executed.

# Office of Special Counsel Referral File No. DI-23-000639 Agency Report of Investigation Table of Exhibits

<u>TAB</u>	<u>DESCRIPTION</u>
TAB A	Email and Mishap Report - 9 February 2023
TAB B	Email ASMIS Review Removal - 26 April 2023
ТАВ С	DA Form 7120 – Commander's Task List (ATC), ————————————————————————————————————
TAB D	DA Form 2397-AB, Draft Abbreviated Aviation Accident Report (AAAR)
TAB E	Memorandum of Agreement (ATC-APG-MDARNG-CBRNE)

From:
To:
Cc:

**Subject:** 72116

Date: Thursday, February 9, 2023 3:17:15 PM

Attachments: mishap 202302061022.pdf

Sir,

The attachment is the copy of the initial telephone report called into the CRC ( Combat Readiness Center, Ft. Rucker, AL ). Based on the call and the information we provided to the center. It has been determined to be a Class C incident. We CARA Aviation Section now have 90 days to complete and submit a final report on this incident.

Please direct any question or concerns to

О

Thank you

Aviation Section Chief
CBRNE Analytical & Remediation Activity (CARA)
Bldg.1060 Phillips Army Airfield
Aberdeen Proving Ground, MD 21005-5001
Primary # Gov't Cell

Desk:

Email:

U.S. ARMY COMBAT READINESS CENTER	MISHAP & NEAR MISS REPORTING
Case Number 202302061022	Page No 1/3
Notification Details	r age no 170
Category	Aviation - Manned - Flight
Date of Mishap (MM/dd/yyyy)	02/06/2023
Time of Mishap (HHMM)	0925
Mishap ID	37319
Source	Initial Notification
Total Cost	\$0
Individual submitting the Initial Notification	n
Last Name	
First Name	
Middle Name	
DSN	
Commercial Phone Number	
Position/Title	Pilot/ ASO
Point of Contact for Initial Notification	•
Are you the POC for this Initial Mishap Notification?	Yes
Mishap Details	
Period of Day	Day
Mishap Classification	С
Mishap Duty Status	On Duty
UIC of the unit responsible for this mishap	W6NHC0
Did the mishap occur on post?	Yes
Installation/Nearest Installation	Aberdeen Pvg Grds, MD (24004)
Provide a specific description of where mishap took place	Philip Army Airfield, APG, MD
State	Maryland
Country	United States
Nearest Commercial Airport	KMTN
Primary Event	Other
Description of Primary Event	Engine Overheat
Mission Type	Training
Was this a multi-aircraft mishap?	No
Aircraft Serial Number	09-72116
Aircraft MTDS	UH-72A
Night Vision Device in Use?	No

Case Number	202302061022	Page No	2/3						
Digital Collector Type	·	Maintenance Data Recorder (MDR),Smart On Board Data Interface Module							
Explosives, Hazardou	us/Sensitive Materiels involved?	No							
Is the mishap site sec	cured IAW 385-10?	Yes							
Personnel Involv	ed/Injuries								
	Personne	el Involved							
U.S. Army Military - E	Enlisted	Fatal : Non-Fatal : Permanent Total Disability: Permanent Partial Disability : Less Severe Injury : No Injury :							
U.S. Army Military - V	Varrant Officer	Fatal : Non-Fatal : Permanent Total Disability: Permanent Partial Disability : Less Severe Injury : No Injury :							
U.S. Army Military - C	Commissioned Officer	Fatal : Non-Fatal : Permanent Total Disability: Permanent Partial Disability : Less Severe Injury : No Injury :							
Other DoD Military		Fatal : Non-Fatal : Permanent Total Disability: Permanent Partial Disability : Less Severe Injury : No Injury :							
DoD Civilian - U.S. A	rmy Civilian	Fatal : Non-Fatal : Permanent Total Disability: Permanent Partial Disability : Less Severe Injury : No Injury : 2							
DoD Civilian - Other I	DoD Civilian	Fatal : Non-Fatal : Permanent Total Disability: Permanent Partial Disability : Less Severe Injury : No Injury :							
Other/Non-DoD		Fatal : Non-Fatal : Permanent Total Disability: Permanent Partial Disability : Less Severe Injury : No Injury :							
Total No. of Personne	el	2							

# FOR OFFICIAL USE ONLY (FOUO) and protected by the Privacy Act of 1974

Case Number	202302061022	Page No 3/3	3					
Fatalities		0						
Non-Fatal Injuries		0						
damages to Governr	mages (Note: Only include cost of nent owned equipment or damage sult of Army Operations.)							
Highest Rank Directl	y Involved in Mishap	DAC						
including equipment and/or damage. (Not	ef description of the mishap involved and the extent of injuries e: Do not include personally on such as names or SSNs.)	During Training Collar initial setup, the # 2 engine was robeyond idle detent. To correct, # 2 engine throttle rolled back up to the fly position causing the TOT to exceed 920 degrees according to aircraft parameters. Normal engine shutdown with no further issues.	0					

From:	
Sent: To:	Tuesday, May 16, 2023 3:01 PM
Subject:	FW: UIC Hierarchy Reviewer Removal
Sir,	
This was the email for apparent unwarrant	· · · · · · · · · · · · · · · · · · ·
V/r,	
Bldg.1060 Phillips A	Remediation Activity (CARA)
To:	pril 26, 2023 11:39 AM hy Reviewer Removal
Hello	,
You have been rem the UIC shown belo	oved as a Reviewer or CC Recipient within the ASMIS 2.0 Mishap and Near Miss Reporting tool for w.
Unit Identification (	Code (UIC): W6NHAA
UIC Abbreviation: V	V6NH USA CBRNE ACTIVTY
UIC Long Name: US	ARMY CBRNE ANALYTICAL AND REMEDIATION ACTIVITY (W6NHAA)
Removed By:	
Click here to login t	o the Mishap and Near Miss Reporting tool.
	Mishap and Near Miss Reporting Tool

This email was generated by the ASMIS 2.0 Mishap and Near Miss Reporting tool.

COMMANDER'S TASK LIST  For use of this form see TC 3-04.11; the proponent agency is TRADOC.																	
AUTHORITY: Title 5, USC, Section 301																	
PRINCIPAL PURPOSE(S): To track Aircrew Members' Flight Records																	
ROUTINE USES: Information furnished may be disclosed within DoD only, to officials or employees who need this information to perform																	
their official duties.  DISCLOSURE: Voluntary. Disclosure of birth month is voluntary; however this form will not be processed without the Aircrew Member's birth month.																	
PART L BIOGRAPHICAL																	
Name: Rank: DAC DoD ID: Birth Month: FAC: N/A																	
Duty Title: Standardization Pilot Aircraft Type: UH-72A Primary X Additional Alternate																	
PART IL AUTHORIZED FLIGHT DUTIES/STATIONS																	
	СР	PI	PÇ	UT	IP	ΙE	SP	MP	ME	XP	MC				Ren	narks	
Right/Back Seat		X	X		X	X	X										· .
Left/Front Seat		X	X		X	X	X										
Cabin				Ш	X	Χ	X					]					
NVG				Щ													
NVS			Ш														
						PAR	TIII	FL'	YIN	G-H	OUF	R	EQUIREME	ENTS			
					nnual		_		First	Perio	d			Period	╄-	Remarks/Ad	justment
Dates		+	01 M	ar 202	3-29 F	eb 202	24	01 Mai	2023	3-31 A	ug 20	)23	01 Sep 2023	-29 Feb 2024	lacksquare		
Total Aircraft Hour							_								╀		
Total Simulator Ho	-						+							· ·····	╀—		
Night Unaided Hou	ırs						_								╄		
NVG Hours							_								-		
Hood/Weather Hoo Other Hours (Spec			<u> </u>				+								+		
Other Hours (Spec			-				+								+	•	
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					Т						_	_	Qtr (ARNG)	Ī	Ren	narks/Date Comp	leted
Standardization FI	ight E	valua	tion		十												
Instrument Flight E	valua	tion	***************************************		十												
Operator's Manual	Writt	en Ex	kamin	ation	$\top$												
NVG Flight Evalua	tion																
Maintenance Test	Flight	Eval	luatio	n													
ACT																	
CBRN																	
CBAT																	
ROC-V					$\perp$												
Other (Specify)																	
Other (Specify)																	
PART V. CERTIFICATION  This form, its enclosure(s), and the aircrew training manual establish your Aircrew Training Program requirements.																	
Commander:	sure(	s), ar	ia the	aircr	ew tra	ıınıng	man	_			ur Ai	rcre	w Training Pro	ogram require		s. Date:	
Commander.	DAC	, AV						Sign	ature	<b>5.</b>						Date. / MAC	2023
I certify that I have read and understand my ATP requirements contained on this form, its enclosure(s), and the aircrew training manual.																	
Crew Member's Signature:    Date:   Min. 2023																	

DA FORM 7120-R

PREVIOUS EDITIONS ARE OBSOLETE.

SED V1.0.1

#### **ABBREVIATED AVIATION ACCIDENT REPORT (AAAR)** REQUIREMENTS CONTROL SYMBOL FOR ALL CLASS C, D, E, F, COMBAT A AND B, AND ALL AIRCRAFT GROUND CSOCS-309 For use of this form, see DA Pamphlet 385-40; the proponent agency is OCSA. COMPLETE BLKS 1-18 FOR ALL ACDTS. NO FURTHER ENTRY IS REQUIRED FOR CLASS D, E, AND F ACDTS NOT INVOLVING HUMAN ERROR/INJURY. a. (YYYYMMDD) b. Time (Lcl) c. Acft Ser No. DATE/CASE NO. OF ACCIDENT a. Classification X C Α В D 20230206 72116 b. Category Flight Related X Flight UAS Acft Ground 4. PERIOD 5. NO. ACFT INVOLVED 6. NEAREST MIL INSTALLATION 3. TYPE OF ACFT (MTDS) Dawn X Day APG UH-72 Dusk Night 7. ACCIDENT LOCATION c. City (Nearest to acdt site) d. State e. Country (If not USA) f. Grid or Lat./Long. a. X On-Post b. X On Airfield MD Off-Post Not on Airfield 39.469661, -76.168257 ORGANIZATION INVOLVED a. Name of Unit b. UIC (6 Digit Unit Id Code) c. Home Station d. Army HQ CARA Aviation 20th CBRNE W6NHAA Aberdeen Proving Ground **FORSCOM** ORGANIZATION DEEMED ACCOUNTABLE (If same as block 8 leave blank) a. Name of Unit b. UIC (6 Digit Unit Id Code) c. Home Station d. Army HQ 20th CBRNE **FORSCOM** Aberdeen Proving Ground 10. ESTIMATED ACCIDENT COST a. Acft Total Loss × No Yes b. Acft Damage (Excl man hr) c. No. Man Hrs d. Man Hrs e. Other Damage Mil g. Injury Cost h. Total (This acft) i. Total (All acft) f. Civilian Damage \$ 182,220 293 \$ 19,585 \$ 201,805 11. GEN. | a. Msn | (1) Type c. Digital Source Collector Installed b. Flight Plan d. Night Vision Device/System In use DATA (Tng, Svc, etc.) Yes X No If "Yes" Specify type Yes X No If "Yes" Specify type X VFR X Single-ship NA Multi-ship IFR f. Flammable Fluid Spillage (If "Yes" for Class A, B, g. Field Training Exercise (FTX) Inflight X None and C acdts, attach DA Form 2397-6) Yes X No If "Yes" Name of FTX X No Yes Postcrash Other 13. TYPE EVENTS (Enter max 3 codes from Phase of Operation (Enter max of 3 Overgross for Flight 12. FLIGHT Altitude Airspeed Aircraft Table 3-2 DA Pam 385-40 or specify type event codes from Table 3-4 of DA Pam 385-40 Conditions Duration DATA AGI KIAS Weight or specify phase (e.g., hover, NOE, etc.) which best describes the acdt/incdt, e.g., Yes Νo tree strike, generator failure, eng overspeed, Hours hard landing fuel exhaustion, dropped cargo, oil cooler bearing failure, etc.) Emergency Tenths Hours 47 Impact/Acdt Tenths or Termination 14. ACCIDENT CAUSE FACTORS D, S, or U to identify Definite, Suspected, or (Enter a. Human Error Human Error (If D or complete blks 21, 23, & 24) b. Materiel Failure/Malfunction c Environmental (if D or S Complete blk 17) (Includes mfg/design induced failures)(If D or S complete blk 16) 15. SUMMARY (Enter summary of acdt sequence from onset of emergency through termination of flight. For Class D, E, and F, include the type of materiel failure and/or environmental factors.) During Training Collar initial setup, the #2 engine was rolled beyond idle detent. To correct, rolled back up to fly causing the TOT to go up to 1100 degrees according to aircraft parameters page. Normal engine shutdown with no further issues. 16. COMPONENT AND PART FAILURE/MALFUNCTION DATA (part that initiated failure/malfunction.) 17. ENVIRONMENTAL (Chk conditions at time of acdt.) Major Component Identification Part a. General (1) IMC (2) X VMC (3) Unknown a. Nomenclature b. Environmental Conditions (1) Weather Conditions (2) Other Conditions b. Type, Design, and Series (a) Hail (a) Animals (b) Sleet (b) Fowl c. Part Number (c) Fog (c) Surface (d) Drizzle (d) Noise d. NSN (e) Rain (e) Chemicals (f) Radiation (f) Snow e. Manufac-

FGCODE (USACRC) TYPEFL CAUFL

Branch

Grade

E-Mail

(g) Lightning

(h) Thunderstorm

(i) Gusty Winds

(j) Freezing Rain

Address and Tel No. (DSN and Com),

(k) Other

(g) Glare

(h) FOD

(i) Temperature

(j) Vibration

(k) Dust

c. Acft lcing X No Yes d. Turbulence X No Yes

(1) Materiel (2) Maintenance

(3) Design (4) Manufacture

18. BOARD PRESIDENT/ASO/POC (Name, Signature, and Date)

9

e. Fire

a. At

b. At

turer's

Code f. Part Serial

g. Cause

Malfunction

COMPLETE BLKS 19 - 26 FOR ALL CLASS C, COMBAT CLASS A, B, ACFT GROUND CLASS A, B, C, AND ALL CLASS ACDTS INVOLVING HUMAN ERROR/INJURY.																	
19. MOON ILLUMINATION DATA (For night Class A, B, or C acdts. If bik a is "no", no other entry is required.)																	
a. Moon Above Horizon   b. Moon Visible   c. Moon (Degrees   d. Percent of Moon   e. Moon (Clock Position from   Humination   %   Flight Path/Nose of Acti)																	
Yes No Yes No																	
20. WIRE STRIKE DATA (If "no* in blk a, no other entry is required)  a. Wire Strike   b.WSPS Installed   c. WSPS Engaged Wire   d. WSPS Cut Wire   e. WSPS Functioned as Designed   f. Wires Struck																	
Yes	No Yes	No T Yes		Ye		No	···	Yes		No No		No.	3 Oll uc		a (inches	)	
21. PERSONNEL DATA (Complete for each crew member with access to flight controls or other personnel injured or having a contributing role in the accident; use additional forms as needed)  a. Name (last, first, MI)  (1) SSN  (2) Grade (3) Gender (4) Duty (5) SVC (6) UIC (Assigned) (7) Contributing Role																	
					DAC	M										N	U
(8) On Fit Controls	(9)(a) Lab Test	(9)(b) Results	(10) Activity (Last 24 Hrs)	(a) Hrs S	lept	(c) Hrs Flown	(11	(a) F (b) F		1	□3 □3	(12)Inj comple 2397-9	jury ( te DA F	(if "yes" form	(13) Tota Flight Hou (acdt MTD	rs Èli	4) Total ight ours
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☐ No	□ No	Neg		1				trom	Combat	Zone		Þ	< No				
b. Name (last,	first, MI)		(1) SSN	(2)	Grade	(3) Gende	er (4)	Duty	(5) SVC	(6) UIC	(Ass	igned)	(7) Co	ontributin	g Role		
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(8) On Fit Controls	(9)(a) Lab Test	(9)(b) Results	(10) Activity (Last 24 Hrs)	(a) Hrs S	lept	(c) Hrs Flown	(11	) (a) F (b) F	- ^ =	1 2	∏3 □ 2		jury ( te DA F		(13) Tota Flight Hou	ırs Fli	4) Total ight
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☐ No	☐ No	Neg		(6) 1113 41	VOIREG			from	n Combat	Zone			_ ] No				
22. IMPACT/P	ROTECTIVE/ESCA	PES/SURVIVAL	RESCUE DA	TA (For	Class A,	B, and C ac	cdts)					1					
	le Space Compromised		b. Escape/Survi				Ē	Yes						ned as de	-	×	= '
(If "yes" DA Form 2397-6 is required DA Form 2397-10 required for the individual) No (If "no" DA Form 2397-10 required for the individual) No  23. ACDT CAUSE FACTORS (Blk 24 must support all cause factors checked; See DA Pam 385-40 for definition of cause factors)																	
a. Training F	ailure (Stds exist but no	ot b. Stand	dards Failure (Sto	is c.	Leader	Failure (Sto	d.	X Inc	dividual Fai	ilure					equate equ	ıip∕	
	AND RECOMMEN		actical, or do not e						wn but not i			<del></del>		type pers	onnel)		
	22-06 UH-72 O														on cor	itrol	s
pressed the	idle release but	tton and rolle	ed throttle to	owards (	off po	sition, w	hich	was	n't brie	fed. 3	Inst	ructor	Pilot	t saw a	and hea	rd e	ngine
duickly spi	wn towards off	and incorrect	tly rolled to	nrottle a	noint a	idle stop	pos vas s	ition hut d	. This a lown or	iction n the s	result	ted in vith a	fuel nnror	being	added v vrite pr	whic is in	the l
quickly spiked the TOT up to 1100 degrees. 4. From this point aircraft was shut down on the spot with appropriate write ups in the Logbook. Aircraft towed back to hanger as this occurred on the airfield KAPG. Corrective action's: Instructor pilot reviewed Collar																	
setup with other UH-72 Instructor pilots. Learning that IP's read the Collar Setup steps and have the pilot being trained install the																	
training collar. Our IP developed a Collar set up check sheet. Then came to me the CARA Aviation Section Chief with the recommendation to repeat all academic training for all five crew-members requiring training as outlined in the Safety Message which																	
required Collar Training. Then to fly with me the Section Chief first to validate his checklist and risk mitigation on this task. After																	
we completed this training flight, we conducted an AAR and both of us could see the increased safety margin by having the crew-																	
member install the Training Collar as the IP monitored and read the checklist for installation. As of 1 March 2023, all training has been completed and documented. Aircraft has undergone inspections, repairs and returned to service.																	
been completed and documented. Anotait has undergone inspections, repairs and returned to service.																	
USACRC Du	-	Role			Failure	e/error Cod	ie	SI 1		RM			RM.			<b>1</b> 3	
Pr	ase of OP	Task/part						SI 2		RN	11		RM	2	RN	13	
25. LIST OF ATTACHMENTS (CCAD, DA Forms 2397-4, 8, 9, etc.)																	
n/a  26. COMMAND REVIEW (Required for Class A and B combat and all Class C acdts. Use separate sheet for nonconcurrence, additional findings, and recommendations.)																	
REVIEWER	Organization		Name (Typ				Rank	Toncan	currence, e	Signa		s, and it		muauons.	Comme	ents	
a. 20th CBRNE				-/		S14	+		Orgina			_					
Commander	CARA Aviation	***************************************												× Cor	cur _	Non	-concur
b. Reviewing Official														Cor	ncur	Non	-concur
c. Approving Authority													T	Cor	icur _	Non	-concur
d. DA Review	USACRC													Approve (YYYYM		ry into	ASMIS

# MEMORANDUM OF AGREEMENT BETWEEN U.S. ARMY ABERDEEN TEST CENTER (ATC) AND

MARYLAND ARMY NATIONAL GUARD (MDARNG) AND

U.S. ARMY GARRISON ABERDEEN PROVING GROUND (USAGAPG) AND

20<sup>th</sup> CHEMICAL, BIOLOGICAL, RADIOLOGICAL, NUCLEAR, EXPLOSIVES (CBRNE) Agreement Number 22-127

SUBJECT: Memorandum of Agreement (MOA) 22-127 between ATC, MDARNG, USAGAPG, and 20<sup>th</sup> CBRNE for Phillips Army Airfield (PAAF)

This is an MOA between ATC, MDARNG, USAGAPG, and 20<sup>th</sup> CBRNE. When referred to collectively, ATC, MDARNG, USAGAPG, and 20<sup>th</sup> CBRNE are referred to as the "Parties."

1. BACKGROUND: ATC has been delegated operational control of PAAF by Department of the Army (DA) G3. ATC would like to establish a mutual partnership with the tenant activities, MDARNG and 20<sup>th</sup> CBRNE as well as the real property owner, USAGAPG, in working together to meet the airfield operations, sustainment and training needs of each organization.

### 2. REFERENCES:

- a. Memorandum of Understanding (MOU) the U.S. Army Aberdeen Test Center and the Maryland Army National Guard (MDARNG), Subject: Memorandum of Understanding (MOU) Agreement # 22-155 between ATC and MDARNG to Define Duties and Responsibilities for MDARNG Aviation Operations in Restricted Area R-4001A/B/C, 12 May 22.
- b. Memorandum of Agreement (MOA) between the U.S. Army Aberdeen Test Center and the Maryland Army National Guard (MDARNG), Subject: Memorandum of Agreement #18-128 between ATC and MDARNG to define duties and responsibilities for the PAAF air traffic personnel, 5 Oct 18.
- c. U.S. Army Garrison Aberdeen Proving Ground Common Levels of Support (CLS) and Reimbursable Services Catalog.
- 3. PURPOSE: This memorandum establishes the relationship between ATC, MDARNG, USAGAPG and 20<sup>th</sup> CBRNE. It outlines the duties and responsibilities for the airfield operations, sustainment, and training support of all parties relating to PAAF.

#### 4. RESPONSIBILITIES OF THE PARTIES:

#### 4.1 ATC will:

- 4.1.1. Manage and operate PAAF Monday through Friday 0600 and 1800 (with the exception of Federal holidays). After-hours and weekend operations are available on a customer/tenant cost reimbursable basis. After-hours Operational Support Airlift (OSA) support will be cost reimbursable or overhead as needed. ATC is non-operational on Sundays unless approved by the ATC Commander. [Note: All operations on Ranges and Test Courses, including testing at PAAF, must be coordinated with ATC scheduling]. Airfield base operations are 0600 1800 Monday through Friday (with the exception of Federal holidays) and the air traffic control tower is operational 0800 1600 Monday through Friday (with the exception of Federal holidays).
- 4.1.2. Provide operational support for tenant and transient flight operations to include: air traffic control, base operations, aircraft refuel, transient alert and dispatch, flight planning, and weather observation services.
- 4.1.3. Provide helicopters and aircrew (capable of aerial fire suppression) to support range, test, training, security, emergency, and other authorized operations.
- 4.1.4. Assist other tenants as necessary with training, testing, and airfield operations. [Note: The USAGAPG and ATC Regulations require Range Operations to be manned when firing and certain other activities are conducted.]
- 4.1.5. Provide training support for MDARNG air traffic controller training. The ATC Commander will notify the PAAF control tower supervisor of training conflicts no later than 48 hours prior to the scheduled training.
- 4.1.6. Provide inter-agency exchange of crewmembers with both MDARNG and CBRNE for the purpose of evaluations, training, and instruction and aircraft movements. Both agencies delegate authority and responsibility to the agency that owns the aircraft utilizing the Risk Common Operating Picture (R-COP) Army Aviation Risk Assessment Worksheet (RAW) being used to conduct the evaluations, training, instruction, or aircraft movement.
- 4.1.7. Authorize MDARNG operations inside R-4001 for the purposes of conducting aviation operations at Weide Army Helipad (WAHP), PAAF, and Lauderick Creek & APG South (Edgewood) Designated Terrain Flight Training Area (Airspace R-4001C integrated into R-4001A).
- 4.1.8. Implement an Airfield Operations Board with ATC Commander leading the working group with all parties (stakeholders) either bi-weekly, monthly, or quarterly as required.

- 4.1.9. Provide USAGAPG notification for incoming 3-star and above General/ Flag Officers and 3-star equivalent and above Civilians.
- 4.1.10. Provide backup procedures between WAHP Flight Operations and PAAF Base Operations so that each base may transmit a Notice to Airmen (NOTAM) for each other if either location is unable to access Department of Defense Aeronautical Information Portal (DAIP) NOTAM for extended periods of time.

#### 4.2. MDARNG will:

- 4.2.1. Prescreen Air Traffic Control all candidates to ensure they qualify for the training program per Army Regulation 95-2 and Traffic Control (TC) 3-04.81. All candidates must be a graduate of an Air Traffic Control school and have a valid/current Class 4 Flight Physical recognized by the flight surgeon at Aberdeen Proving Ground, Edgewood Area.
- 4.2.2. Ensure that candidates report for training. When assigned to training, eliminate all distractions (all other commitments) to the maximum extent possible. As stated above in para 4.1.5, the ATC Commander will notify the PAAF control tower supervisor of training conflicts no later than 48 hours prior to the scheduled training.
  - 4.2.3. Incur all costs associated with transportation and housing.
  - 4.2.4. Coordinate with ATC Range Control the following:
- 4.2.4.1. Incorporate R-4001A/B/C aviation operation procedure in the U.S. Army Aviation Branch Support Facility Standard Operating Procedure, Annex C (MDARNG) and ensure aircrew are familiar with provision of this MOU.
- 4.2.4.2. Maintain an Army Aviation Branch Standard Operating Procedure compliant with DA Pamphlet 385-90.
- 4.2.4.3. Adhere to APG Regulation 385-1 (Range Administration) and any additional requirements imposed by the Range Commander-In-Charge.
- 4.2.4.4. Coordinate/schedule all range overflights (other than pre-approved flight paths, approaches or departure routes) within R-4001A/B/C with the Chief, Range Operations, ATC via the submission of an Aircraft and/or Range Overflight Request.
  - 4.2.4.5. Adhere to applicable APG regulations.
- 4.2.5. Maintain an MOU between PAAF and OSA-A Det 2 delineating responsibilities for hangar use and airfield services.

- 4.2.6. Provide backup procedures between WAHP Flight Operations and PAAF Base Operations so that each base may transmit NOTAMs for each other if either location is unable to access DoD DAIP NOTAM for extended periods of time.
- 4.2.7. Provide inter-agency exchange of crewmembers with both ATC and CBRNE for the purpose of evaluations, training, and instruction and aircraft movements. Both agencies delegate authority and responsibility to the agency that owns the aircraft utilizing the R-COP Army Aviation (RAW) being used to conduct the evaluations, training, instruction, or aircraft movement.
- 4.2.8. Maintain and fund an active real estate license executed by the U.S. Army Corps of Engineers, Baltimore District, for the use of PAAF real property.

#### 4.3. CBRNE will:

- 4.3.1. Provide communication with ATC on current and future flight operations and mission schedule.
- 4.3.2. As a tenant and stakeholder, utilize the PAAF on a daily basis. Uses include, but not limited, to National Technical Nuclear Forensics missions, exercises, and deployments.
- 4.3.3. Maintain an MOU between PAAF and 20<sup>th</sup> CBRNE Aviation delineating responsibilities for hangar use and airfield services.
- 4.3.4. Provide inter-agency exchange of crewmembers with both MDARNG and ATC for the purpose of evaluations, training, and instruction and aircraft movements. Both agencies delegate authority and responsibility to the agency that owns the aircraft utilizing the R-COP Army Aviation RAW being used to conduct the evaluations, training, instruction, or aircraft movement.

#### 4.4. USAGAPG will:

- 4.4.1. Provide Common Levels of Support services to the airfield as designated in the USAGAPG CLS and Reimbursable Services Catalog dependent on directed services levels, prioritization, and available resources.
- 4.4.2. Support airfield assessments, analysis, and long range plan development to inform and prioritize airfield infrastructure.
- 4.4.3. Support development and submission of projects for consideration and prioritization at the Installation Planning Board and Army Facility Investment Plan.

- 4.4.4. Provide notification to APG's Senior Commander and U.S. Army Installation Management Command for incoming 3-star and above General/Flag Officers and 3-star equivalent and above Civilians.
- 5. PERSONNEL: Each Party is responsible for all costs of its personnel, including pay and benefits, support, and travel. Each Party is responsible for supervision and management of its personnel.

#### 6. GENERAL PROVISIONS:

- 6.1. POINTS OF CONTACT: The following points of contact will be used by the Parties to communicate in the implementation of this MOA. Each Party may change its point of contact upon reasonable notice to the other Party.
  - 6.1.1. For ATC:

6.1.1.1. Primary:		

- 6.1.1.2. Agreements:
- 6.1.2. For MDARNG: The State Aviation Officer, ng.md.mdarng.list.sao@army.mil.
- 6.1.3 For USAGAPG:
  6.1.4. For 20<sup>th</sup> CBRNE:
- 6.2. Airfield Operations Board must meet every six months to review and or modify ongoing actions and activities.
- 6.3. REVIEW OF AGREEMENT: This MOA will be reviewed annually, by the Parties, on or around the anniversary of its effective date for financial impacts, and triennially in its entirety.
- 6.4. MODIFICATION OF AGREEMENT: This MOA may only be modified by the written agreement of the Parties, duly signed by their authorized representatives. This MOA will be reviewed annually on or around the anniversary of its effective date, and triennially in its entirety.
- 6.5. DISPUTES: Any disputes relating to this MOA will, subject to any applicable law, Executive order, directive, or instruction, be resolved by consultation between the Parties or in accordance with DoD Instruction 4000.19.

- 6.6. TERMINATION OF AGREEMENT: This MOA may be terminated by either Party by giving at least 180 days written notice to the other Party. The MOA may also be terminated at any time upon the mutual written consent of the Parties.
- 6.7. TRANSFERABILITY: This MOA is not transferable except with the written consent of the Parties.
- 6.8. ENTIRE AGREEMENT: It is expressly understood and agreed that this MOA embodies the entire understanding between the Parties regarding the MOA's subject matter.
- 6.9. EFFECTIVE DATE: This MOA takes effect beginning on the day after the last Party signs.
- 6.10. EXPIRATION DATE: This MOA expires five (5) years from the day after the last Party signs.
- 6.11. CANCELLATION OF PREVIOUS MOA: This MOA cancels and supersedes any previously signed agreement(s) between the same Parties with the subject MOA.

#### 7. FINANCIAL DETAILS:

7.1. Availability of Funds: This MOA does not document the obligation of funds between the Parties. Any obligation of funds in support of this MOA will be negotiated by specific funding documentation. The obligation of funds by the Parties is subject to the availability of appropriated funds pursuant to the DOD Financial Management Regulation.

#### 7.2. Billing:

Mail invoice to (Payment will be made by): DFAS-CL Centralized Disbursing Office 1240 E. 9<sup>th</sup> Street CODE: JDCBB Cleveland, OH 44199-9904

7.3. Financial Specifics: Cost estimates will be provided to the appropriate organization where reimbursement for support is required as stated above in para 4.1.1. The organizations will utilize the Fiscal Service 7600A form to document any reimbursable support.

7.4. The determination of Economy Act, IAW 31 U.S.C § 1535 or Project Order IAW 41 U.S.C. 6307 will be identified on the appropriate funding documentation.

APPROVED:

For ATC:	For MDARNG:
Colonel, U.S. Army	Colonel, MDARNG
Commanding	Chief of Staff
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_20220517 (Date)	(Date)
For 20th CBRNE:	For USAGAPG:
Colonel, U.S Army	Colonel, U.S. Army
Chief of Staff	Commanding
2022 05 17	20220517
(Date)	(Date)